

#### An introduction to core entropy

Giulio Tiozzo University of Toronto

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1. What is... topological entropy?



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- 2. A crash course in complex dynamics

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3. The core entropy

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- 4. How to compute the core entropy?

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- 1. What is... topological entropy?
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- 4. How to compute the core entropy?
- 5. The clique polynomial for infinite graphs

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6. Further questions

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Then the topological entropy of f is

$$h_{top}(f) := \sup_{\mathcal{U}} \lim_{n \to \infty} \frac{1}{n} \log N(\mathcal{U} \vee f^{-1}(\mathcal{U}) \vee \cdots \vee f^{-n+1}(\mathcal{U}))$$

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$$h_{top}(f,\mathbb{R}) = \lim_{n \to \infty} \frac{\log \#\{ \operatorname{laps}(f^n) \}}{n}$$



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$$\begin{array}{ccc} A & \mapsto & A \cup B \\ B & \mapsto & A \end{array} \quad \Rightarrow \quad \left( \begin{array}{c} 1 & 1 \\ 1 & 0 \end{array} \right) \quad \Rightarrow \quad \lambda = \frac{\sqrt{5}+1}{2} = e^{h_{top}(f_c,\mathbb{R})} \\ \end{array}$$

$$h_{top}(f,\mathbb{R}) := \lim_{n \to \infty} \frac{\log \#\{ \operatorname{laps}(f^n) \}}{n}$$

Consider the real quadratic family

$$f_c(z) := z^2 + c$$
  $c \in [-2, 1/4]$ 

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How does entropy change with the parameter c?

The function  $c \to h_{top}(f_c, \mathbb{R})$ :
is continuous

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[Picture is for  $f_a(x) = ax(1 - x)$ .]

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Question : Can we extend this theory to complex polynomials?

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<u>Remark.</u> If we consider  $f_c : \hat{\mathbb{C}} \to \hat{\mathbb{C}}$  entropy is constant  $\overline{h_{top}(f_c, \hat{\mathbb{C}})} = \log 2$ . (Lyubich 1980)

## Mandelbrot set

The Mandelbrot set  ${\mathcal{M}}$  is the connectedness locus of the quadratic family

$$\mathcal{M} = \{ oldsymbol{c} \in \mathbb{C} \; : \; f^n_{oldsymbol{c}}(\mathbf{0}) 
arrow \infty \}$$



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A quadratic polynomial is <u>hyperbolic</u> if its critical point converges to an attracting periodic cycle ( $\neq \infty$ ).

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 $\lambda_H: H \to \mathbb{D}$ 

$$\lambda_H(c) := (f_c^p)'(z)$$

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Each hyperbolic component has a period, and is biholomorphic to the disk.



Since  $\hat{\mathbb{C}}\setminus\mathcal{M}$  is simply-connected, it can be uniformized by the exterior of the unit disk

$$\Phi_{\mathcal{M}}: \hat{\mathbb{C}} \setminus \overline{\mathbb{D}} \to \hat{\mathbb{C}} \setminus \mathcal{M}$$

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The images of radial arcs in the disk are called external rays. Every angle  $\theta \in \mathbb{R}/\mathbb{Z}$  determines an external ray

$$R(\theta) := \Phi_{\mathcal{M}}(\{\rho e^{2\pi i\theta} : \rho > 1\})$$

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An external ray  $R(\theta)$  is said to land at x if

$$\lim_{\rho\to 1} \Phi_{\mathcal{M}}(\rho e^{2\pi i\theta}) = x$$

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#### Theorem (Douady-Hubbard, '84)

If  $\theta \in \mathbb{Q}/\mathbb{Z}$ , then the external ray  $R(\theta)$  lands and determines a postcritically finite quadratic polynomial  $f_{\theta}$ .

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• If 
$$\theta = \frac{p}{q}$$
 with q even,

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### Conjecture (Douady-Hubbard, MLC)

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As a consequence, the Mandelbrot set is homeomorphic to a quotient of the closed disk (hence locally connected).

#### Julia sets

Let  $f_c(z) = z^2 + c$ . Then the <u>filled Julia set</u> of  $f_c$  is the set of points which do not escape to infinity under forward iteration:

 $K(f_c) := \{z \in \mathbb{C} : f_c^n(z) \text{ is bounded } \}$ 

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# Thurston's quadratic minor lamination (QML)



For each  $f_c$ , pick the minor leaf of the lamination for  $f_c$  (i.e, the ray pair landing at the critical value (or its root)).

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#### The complex case: Hubbard trees The Hubbard tree $T_c$ of a quadratic polynomial is

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It is a forward invariant, connected subset of the filled Julia set which contains the critical orbit. The map  $f_c$  acts on it.



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where  $T_f$  is the Hubbard tree of f.

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$$\begin{array}{l} A \rightarrow B \\ B \rightarrow C \\ C \rightarrow A \cup D \\ D \rightarrow A \cup B \end{array}$$







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# The core entropy

Let  $\theta \in \mathbb{Q}/\mathbb{Z}$ . Then the external ray at angle  $\theta$  lands, and determines a postcritically finite quadratic polynomial  $f_{\theta}$ , with Hubbard tree  $T_{\theta}$ .
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Definition (W. Thurston)

The core entropy of  $f_{\theta}$  is

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Question: How does  $h(\theta)$  vary with the parameter  $\theta$ ?

# Core entropy as a function of external angle (W. Thurston)



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# Core entropy as a function of external angle (W. Thurston)



Question Can you see the Mandelbrot set in this picture?

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Observation.



<u>Observation.</u> If  $R_M(\theta_1)$  and  $R_M(\theta_2)$  land together, then  $h(\theta_1) = h(\theta_2)$ .

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Theorem (Li Tao; Penrose; Tan Lei; Zeng Jinsong) If  $\theta_1 <_M \theta_2$ , then

 $h(\theta_1) \leq h(\theta_2)$ 

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#### Theorem (T., Bruin-Schleicher) If the Hubbard tree of $f_c$ is topologically finite, then

H. dim 
$$B_c = \frac{h(f_c)}{\log 2}$$

#### The core entropy as a function of external angle

Question (Thurston, Hubbard): Is  $h(\theta)$  a continuous function of  $\theta$ ?



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## The Main Theorem: Continuity

#### Theorem (T.)

The core entropy function  $h(\theta)$  extends to a continuous function from  $\mathbb{R}/\mathbb{Z}$  to  $\mathbb{R}$ .



Idea 1: look at Markov partition, write matrix and take leading eigenvalue

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Idea 2: (Thurston): look at set of pairs of postcritical points

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Idea 1: look at Markov partition, write matrix and take leading eigenvalue

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Idea 2: (Thurston): look at set of pairs of postcritical points, which correspond to arcs between postcritical points.

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Idea 1: look at Markov partition, write matrix and take leading eigenvalue

This works, but you need to know the <u>topology</u> of the tree, and that varies wildly with the parameter!

<u>Idea 2:</u> (Thurston): look at set of pairs of postcritical points, which correspond to arcs between postcritical points. Denote  $c_i := f^i(0)$  the *i*<sup>th</sup> iterate of the critical point, and let

 $\boldsymbol{P} := \{ (\boldsymbol{c}_i, \boldsymbol{c}_j) \ i, j \ge 0 \}$ 

the set of pairs of postcritical points

A pair (i, j) is <u>non-separated</u> if  $c_i$  and  $c_j$  lie on the same side of the critical point.

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Theorem (Thurston; Tan Lei) The entropy of  $f_{\theta}$  is given by

 $h(\theta) = \log \lambda$ 

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where  $\lambda$  is the leading eigenvalue of A. See also Gao, Jung.


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- ℓ(γ) its length.

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 $\gamma$  simple multicycle



- two 2-cycles
- one 3-cycle
- one pair of disjoint cycles (2 + 3)

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$$P(t) = 1 - 2t^2 - t^3 + t^5$$

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Then we define the growth rate of  $\Gamma$  as :

$$r(\Gamma) := \limsup \sqrt[n]{C(\Gamma, n)}$$

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where  $C(\Gamma, n)$  is the number of closed paths of length *n*.

Let  $\Gamma$  with bounded outgoing degree and bounded cycles.

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Let  $\Gamma$  with bounded outgoing degree and bounded cycles. Then one can define as a formal power series

$$P(t) = \sum_{\gamma \text{ simple multicycle}} (-1)^{C(\gamma)} t^{\ell(\gamma)}$$

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#### Theorem

Let  $\sigma \leq 1$ . Then P(t) defines a holomorphic function in the unit disk, and its root of minimum modulus is  $r^{-1}$ .

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# How to compute the core entropy without knowing complex dynamics

Let  $\theta \in \mathbb{R}/\mathbb{Z}$ , and  $\theta_i := 2^{i-1}\theta \mod 1$ , and consider the diameter  $\{\theta/2, (\theta+1)/2\}$  (= major leaf).

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• (i, j) separated  $\Leftrightarrow \theta_i$  and  $\theta_j$  lie on opposite side of diameter

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• (i, j) separated  $\Leftrightarrow \theta_i$  and  $\theta_j$  lie on opposite side of diameter

• (i, j) non-separated  $\Leftrightarrow \theta_i$  and  $\theta_j$  lie on same side of diam.

### Wedges



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### Labeled wedges

Label all pairs as either separated or non-separated

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$$(3,4) \qquad \cdots$$

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(The boxed pairs are the separated ones.)

Define a graph associated to the wedge as follows:

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Continuity: sketch of proof

Suppose  $\theta_n \rightarrow \theta$ 

(external angles)

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## Continuity: sketch of proof





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# Continuity: sketch of proof

Suppose	$ heta_n  o  heta$	(external angles)
Then	$W_{ heta_n}  o W_{ heta}$	(wedges)
SO	$P_{ heta_n}(t)  o P_{ heta}(t)$	(spectral determinants)
and	$r( heta_n)  ightarrow r( heta)$	(growth rates)

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In fact:

Theorem (T. '15)

The core entropy is locally Hölder continuous at  $\theta$  if  $h(\theta) > 0$ , and not locally Hölder at  $\theta$  where  $h(\theta) = 0$ .



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(Conjectured Isola-Politi, 1990)

# Rays landing on the real slice of the Mandelbrot set



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### Harmonic measure

Given a subset *A* of  $\partial M$ , the harmonic measure  $\nu_M$  is the probability that a random ray lands on *A*:

 $\nu_{\mathcal{M}}(A) := \operatorname{Leb}(\{\theta \in S^1 : R(\theta) \text{ lands on } A\})$ 

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For instance, take  $A = \mathcal{M} \cap \mathbb{R}$  the real section of the Mandelbrot set.

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For instance, take  $A = M \cap \mathbb{R}$  the real section of the Mandelbrot set. How common is it for a ray to land on the real axis?



# Real section of the Mandelbrot set Theorem (Zakeri, 2000) The harmonic measure of the real axis is 0.

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### Real section of the Mandelbrot set

Theorem (Zakeri, 2000)

The harmonic measure of the real axis is 0. However,

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 $P_c := \{ \theta \in S^1 : R(\theta) \text{ lands on } \partial \mathcal{M} \cap [c, 1/4] \}$ 

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# Entropy formula, real case Theorem (T.) Let $c \in [-2, 1/4]$ . Then

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- It does not depend on MLC.
- It can be generalized to non-real veins.

### Entropy formula along complex veins

A vein is an embedded arc in the Mandelbrot set.



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# Entropy formula, complex case

A vein is an embedded arc in the Mandelbrot set.



Given a parameter *c* along a vein, we can look at the set  $P_c$  of parameter rays which land on the vein between 0 and *c*.

# Entropy formula along complex veins Theorem (T.; Jung)

Let v be a vein in the Mandelbrot set, and let  $c \in v$ .

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4. Self-similarity of entropy function at Misiurewicz points



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**Question.** Can we define a transverse measure on  $\mathcal{L}_{\theta}$ ?

Let  $\theta \in \mathbb{R}/\mathbb{Z}$ , and  $f_{\theta}(z) = z^2 + c_{\theta}$ . Then there exists a lamination  $\mathcal{L}_{\theta}$  on the disk such that  $\theta_1 \sim \theta_2$  if  $R(\theta_1)$  and  $R(\theta_2)$  land at the same point.

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Theorem (T. '19)

There exists a transverse measure  $m_{\theta}$  on  $\mathcal{L}_{\theta}$  such that

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Such a measure induces a semiconjugacy between  $f_{\theta}: T_{\theta} \to T_{\theta}$  and a piecewise linear model with slope  $\lambda_{\theta}$ . (Compare: Milnor-Thurston, Sullivan dictionary)

# Thurston's quadratic minor lamination (QML)



For each  $f_c$ , pick the minor leaf of the lamination for  $f_c$  (i.e, the ray pair landing at the critical value (or its root)).

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Let  $\ell_1 < \ell_2$  two leaves, and  $\tau$  a transverse arc connecting them.

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"Combinatorial bifurcation measure"?

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# The core entropy for cubic polynomials



# The core entropy for cubic polynomials





# The unicritical slice



 $f(z)=z^3+c$ 

# The symmetric slice



 $f(z) = z^3 + cz$
## Continuity in higher degree, combinatorial version Theorem (T. - Yan Gao)

Fix  $d \ge 2$ . Then the core entropy extends to a continuous function on the space PM(d) of primitive majors.

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Continuity in higher degree, analytic version

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#### Theorem (T. - Yan Gao)

Let  $d \ge 2$ . Then the core entropy is a continuous function on the space of monic, centered, postcritically finite polynomials of degree d.

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### The end

Thank you!

